

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mizuki ONO, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: SEMICONDUCTOR DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION


- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Marvin J. Spivak

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C. Irvin McClelland
Registration Number 21,124

Docket No. 241098US2SRD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATION

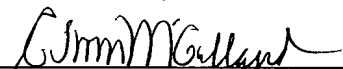
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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
210313US2 SRD	09/891,129	06/26/01	NISHIYAMA et al.

DOCKET NO: 241098US2SRD

Sheet 1 of 1

IN RE APPLICATION OF: Mizuki ONO, et al.

SERIAL NO: New Application

FILED: Herewith

FOR: SEMICONDUCTOR DEVICE

STATEMENT OF RELEVANCY

Reference AO (JP 2002-16063) on Form PTO- 1449:

TiO₂ micro-crystals formed in Ti-Si-O gate dielectric of MISFETs by high-temperature annealing.

Reference AP (JP 2000-307010) on Form PTO- 1449:

Integrated circuits containing transistors, gate dielectrics of which are made of 2 kinds of materials.

Reference AX on Form PTO-1449:

Compositional dependences of the crystallization temperature of some high permittivity thin films are shown.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241098US2SRD		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Mizuki ONO, et al.			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2002-16063	01/18/02	Japan			x
	AP	2000-307010	11/02/02	Japan			x
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	G. LUCOVSKY, et al., "Microscopic Model for Enhanced Dielectric Constants in Low Concentration SiO ₂ -Rich Noncrystalline Zr and Hf Silicate Alloys", APPLIED PHYSICS LETTERS, Vol. 77, No. 18, October 30, 2000, pgs. 2912-2914					
	AX	Angus I. KINGON, et al., "Alternative Dielectrics to Silicon Dioxide for Memory and Logic Devices", NATURE, Vol. 406, August 31, 2000, pgs. 1032-1038					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							